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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Christophe Pierrat et al.

Title: METHOD FOR OPTIMIZING PRINTING OF A PHASE SHIFT MASK HAVING A PHASE SHIFT  
ERROR

Attorney Docket No.: 303.311US2

### PATENT APPLICATION TRANSMITTAL

#### BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

**CONTINUATION** of prior Patent Application No. 09/031,639 (under 37 CFR § 1.53(b)) comprising:  
 Specification (20 pgs, including claims numbered 1 through 22 and a 1 page Abstract).  
 Formal Drawing(s) (8 sheets).  
 Unsigned Declaration (3 pgs).  
 Prior application is assigned of record to Micron Technology, Inc..  
 Information Disclosure Statement (1 pgs), Form 1449 (3 pgs). References NOT enclosed, cited in prior application.  
 Preliminary Amendment (1 pgs).  
 Power of Attorney by Assignee and Certificate by Assignee Under 37 C.F.R. §3.73(b) (1 pg.).  
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The filing fee (NOT ENCLOSED) will be calculated as follows:

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christophe Pierrat et al.

Examiner: Unknown

Serial No.: Unknown

Group Art Unit: Unknown

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Title: METHOD FOR OPTIMIZING PRINTING OF A PHASE SHIFT MASK  
HAVING A PHASE SHIFT ERROR

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

When the above-identified patent application is taken up for consideration, please amend the application as follows:

**IN THE SPECIFICATION**

On page 1, line 5, before "This invention" please insert the sentence, --This application is a continuation of U.S. Serial No. 09/031,639 filed February 27, 1998--.

**IN THE CLAIMS**

Please cancel claims 2-22 without prejudice.

**REMARKS**

Claims 2-22 are canceled hereby. Claim 1 is now pending. The Examiner is invited to telephone the below-signed attorney at 612-371-2111 to discuss any questions which may remain with respect to the present application.

Respectfully submitted,

CHRISTOPHE PIERRAT ET AL.

By their Representatives,

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Date 2-11-00

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**CERTIFICATE UNDER 37 CFR 1.10:**

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Shawn Hise  
Name \_\_\_\_\_

\_\_\_\_\_  
Signature

## METHOD FOR OPTIMIZING PRINTING OF AN ALTERNATING PHASE SHIFT MASK HAVING A PHASE SHIFT ERROR

### Field of the Invention

5 This invention relates generally to photolithography and in particular to a method for optimizing printing of an alternating phase shift mask having a phase shift error.

### Background of the Invention

Semiconductors are now produced having several million transistors per die.

10 To fit all of these devices on a single die, feature sizes must be minute. For example, a width of interconnect lines and a spacing between such lines needs to be very small. Accordingly, advances in semiconductor manufacturing allowing for more dense devices have resulted in the need for accurate, high resolution photolithography.

15 In general, photolithography involves transferring a mask pattern from a mask reticle onto a photoresist-coated substrate. The transfer is done using an imaging lens system and a beam of light. The reticle is often made from a slice of transparent quartz. The mask pattern on the reticle is a design that can be made up of opaque chromium regions and transparent quartz regions. If the beam of light is 20 composed of coherent light rays, the mask pattern would be transferred exactly to the coated substrate.

However, light rays are not coherent and diffraction causes light to bend as it passes through the pattern reticle. Diffraction becomes significantly problematic when the chromium and transparent regions on the mask reticle are near in size to 25 the wavelength of a beam of light. When diffraction occurs, regions on the coated substrate which should be dark receive the diffracted light. Thus, the pattern is adversely affected by diffracted light.

Phase shifting lithography is a method of reducing the effects of light diffraction. Adjacent transparent quartz regions of a pattern on an alternating phase shifting mask, which are separated by an opaque region, are created such that the phase of the light going through one of the transparent regions is shifted, or delayed,

5 180 degrees from that of light going through an adjacent transparent region.

Shifting light by 180 degrees mitigates the problem caused by the diffraction.

When light is diffracted from two adjacent transparent regions onto a dark region of the substrate, the diffracted light cancels each other out. The cancellation occurs due to the additive nature of light rays: two rays having a phase difference of

10 180 degrees result in no light. Thus, shifting the phase of the light helps to ensure that the dark region remains dark.

One way to accomplish phase shifting is to etch every other transparent region on the quartz mask reticle so that light traveling through the etched regions will exit the reticle one-half wavelength behind light traveling through the unetched regions. However, it is difficult to etch the quartz reticle so that light has a phase shift of exactly 180 degrees. When a phase of light is not fully shifted 180 degrees, the alternating phase shifting mask has a phase error and diffraction remains a problem. Generally, when an alternating phase shifting mask has a phase shift error, the mask is discarded.

20 For the reasons stated above, and for other reasons stated below which will become apparent to those skilled in the art upon reading and understanding the present specification, there is a need in the art to mitigate the problems of a phase shift error present in an alternating phase shifting mask.

25

### **Summary of the Invention**

The above mentioned problems associated with diffraction caused by an alternating phase shifting mask having a phase shift error are addressed by the

present invention which will be understood by reading and studying the following specification.

An image from an alternating phase shifting mask having a phase shift error is printed using off-axis illumination. Shooting the mask off-axis compensates for

5 the phase shift error. In operation, an illuminator providing a light source simulates the image for determining off-axis illumination parameters that will optimize printing of the image at best focus. Once the parameters establishing best focus are optimized, the image is shot. Because the phase error is compensated by off-axis illumination, the alternating phase shifting mask may be utilized instead of having to

10 discard it. In addition, the method of varying off-axis illumination parameters to compensate for a phase shift error permits an alternating phase shifting mask to be shot at two different wavelengths. An alternating phase shifting mask initially configured for operation at a first wavelength using on-axis illumination may be shot at a lower second wavelength because the phase shift error associated with the

15 second wavelength is compensated by shooting the mask off-axis.

In one embodiment, a photolithography system comprises an alternating phase shifting mask having a phase shift error, and an illuminator configured for off-axis illumination wherein the illuminator performs image simulations using the alternating phase shifting mask for defining off-axis illumination parameters to

20 compensate for the phase error.

In another illustrative embodiment, a photolithography system comprises an alternating phase shifting mask having a phase shift error, an illuminator providing a light source, and a means for controlling the light source to optimize printing of the alternating phase shifting mask. The means for controlling the light source utilizes

25 off-axis illumination.

In yet another embodiment, a method to optimize printing of an alternating phase shifting mask having a phase error comprises the steps of configuring an illuminator for off-axis illumination, performing simulations of an image on the

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alternating phase shifting mask, and adjusting off-axis illumination parameters based upon the simulations.

In a still further embodiment, a method to optimize printing of an alternating phase shifting mask having a phase error comprises the steps of providing an

- 5     alternating phase shifting mask having a phase shift error, providing an illuminator having a light source, performing simulations on an image of the alternating phase shifting mask, and providing a means for controlling the light source to optimize printing of the alternating phase shifting mask based upon the simulations. The step of providing an illuminator utilizes off-axis illumination.

- 10       In another embodiment, a method of printing an image from an alternating phase shifting mask using two different wavelengths comprises the steps of providing an alternating phase shifting mask, providing a first illuminator having a light source at a first wavelength, printing an image on the alternating phase shifting mask, providing a second illuminator having a light source at a second wavelength,
- 15       configuring the second illuminator for off-axis illumination, performing simulations of the image on the alternating phase shifting mask, adjusting off-axis illumination parameters based upon the simulations, and printing an image on the alternating phase shifting mask.

- 20       In yet another embodiment, a method of printing an image from an alternating phase shifting mask using an illuminator operating at a shorter wavelength from what the mask was initially designed for comprises the steps of providing an alternating phase shifting mask, providing an illuminator having a light source, configuring the illuminator for off-axis illumination, performing simulations of the image on the alternating phase shifting mask, adjusting off-axis illumination parameters based upon the simulations, and printing an image on the alternating phase shifting mask.
- 25

Optimizing printing of an image from an alternating phase shifting mask having a phase shift error is accomplished using off-axis illumination. By first simulating the image, parameters with respect to off-axis illumination are optimized to compensate for the phase shift error. In different embodiments of the invention,

5       optical characteristics of the mask supporting transparency, opaqueness, partial opaqueness, and phase shifting effects of varying scope and combinations are described. Still other and further embodiments, aspects and advantages of the invention will become apparent by reference to the drawings and by reading the following detailed description.

10

### **Brief Description of the Drawings**

Figure 1A illustrates a cross sectional view of a conventional mask.

Figure 1B illustrates a cross sectional view of an alternating phase shifting mask.

15       Figure 2 illustrates a photolithographic system incorporating an off-axis illuminator with an alternating phase shifting mask.

Figures 3-5 are aerial simulations at varying defocus parameters using on-axis illumination with an alternating phase shifting mask having a phase shift error.

Figures 6-8 are aerial simulations at varying defocus parameters using off-axis illumination with an alternating phase shifting mask having a phase shift error.

### **Detailed Description of the Invention**

In the following detailed description of the invention, reference is made to the accompanying drawings which form a part hereof, and in which is shown, by 25 way of illustration, specific embodiments in which the invention may be practiced. In the drawings, like numerals describe substantially similar components throughout the several views. These embodiments are described in sufficient detail to enable

those skilled in the art to practice the invention. Other embodiments may be utilized and structural, logical, and electrical changes may be made without departing from the scope of the present invention. The terms wafer and substrate used in the following description include any structure having an exposed surface with which to

5 form the integrated circuit (IC) structure of the invention. The term substrate is understood to include semiconductor wafers. The term substrate is also used to refer to semiconductor structures during processing, and may include other layers that have been fabricated thereupon. Both wafer and substrate include doped and undoped semiconductors, epitaxial semiconductor layers supported by a base

10 semiconductor or insulator, as well as other semiconductor structures well known to one skilled in the art. The term conductor is understood to include semiconductors, and the term insulator is defined to include any material that is less electrically conductive than the materials referred to as conductors. The following detailed description is, therefore, not to be taken in a limiting sense, and the scope of the

15 present invention is defined only by the appended claims, along with the full scope of equivalents to which such claims are entitled.

The present invention is a method of printing an image from an alternating phase shifting mask having a phase shift error using off-axis illumination. The image is first simulated using off-axis illumination at various parameters to

20 determine best focus of the image. Once the off-axis illumination parameters are optimized, the image is shot onto a substrate. Focusing of the image is thus accomplished using off-axis illumination from empirical data provided by the simulations run on the mask. In addition, the method of varying off-axis illumination parameters to compensate for a phase shift error permits an alternating phase shifting mask to be shot at two different wavelengths.

25

In reference to Figures 1A-B, a conventional mask and an alternating phase shifting masked are illustrated, respectively. A portion of a conventional

photolithographic mask 3 is depicted in cross-sectional format in Figure 1A. The mask 3 consists of a uniformly thick quartz plate 11 and a thin, chromium metal layer 12 which has been selectively etched so as to create a pattern of opaque regions 13 and transparent regions 14.

5       The conventional mask 3 is converted to an alternating phase shifting mask 5, as illustrated in Figure 1B, by masking every other transparent region 14 with photoresist 21, and then subjecting the alternating phase shifting mask 5 to a plasma etch. This etching is performed until the unmasked transparent regions 22 are relieved to a depth such that rays of light from a coherent source pass through the  
10      unetched transparent regions 23 a predetermined wavelength behind rays of light from the same coherent source passing through neighboring etched transparent regions 22. Varying the depth 8 of the etched regions 22 determines the amount of phase shift. The mathematics employed in the construction of an alternating phase shifting mask are well known in the art and will not be discussed herein.

15       When light passes through the etched regions 22 in an alternating phase shifting mask, a 180 degree shift in phase is achieved with respect to a phase of light passing through the unetched transparent regions 23. Although such a process works acceptably in principle, the plasma etch damages the optical characteristics of the quartz so that transmittance through the etched transparent regions 22 is reduced  
20      as compared to the transmittance through unetched transparent regions 23. A resulting error in a phase shift has a somewhat less than adequate cancellation effect on neighboring diffraction patterns on a surface exposed by the alternating phase shifting mask 5.

Figure 2 illustrates a photolithographic system 70 incorporating an  
25      illuminator 72 with an alternating phase shifting mask 5. The photolithographic system 70, such as a stepper, consists of the alternating phase shifting mask 5 illustrated in Figure 1B inserted in a light path of the illuminator 72 to control a

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wafer image resulting on a substrate 80 structure. Off-axis illumination is achieved by the illuminator 72 having a restrictor means 74 for controlling the light 76 provided by the illuminator 72. The illuminator 72 is a source for incoming light 76, such as ultra violet (UV) light. The light 76 is directed through the restrictor means 74, the alternating phase shifting mask 5, and an optics lens 78 before falling onto a photoresist layer 82 overlying a conductive layer 84 which overlies the substrate structure 80.

By shining the light 76 through the alternating phase shifting mask 5, a light pattern is cast upon the semiconductor wafer 84 or a portion thereof which is covered with the photoresist 82 or a similar material. The portion of this photoresist material 82 which is under the mask and subject to the light 76 is accordingly hardened due to the exposure it receives. If a negative photoresist material 82 is used, a portion of the photoresist material 82 not exposed to the light 76 is removed to expose portions of the substrate structure 80 while the remaining photoresist 82 protects unexposed portions of the substrate during subsequent processing steps. Subsequently, a suitable well-known solvent material etches away the unhardened portion of the photoresist 82 to form an integrated circuit.

The restrictor means 74 is generally an adjustment on the illuminator 72 wherein the light 76 provided by the illuminator 72 is varied with respect to an optical axis of the illumination system 70. By controlling a source of the light 76 via the restrictor means 74 before reaching the alternating phase shifting mask 5, off-axis illumination is achieved. Utilization of off-axis illumination improves process latitude and improves a depth of focus of an image on the alternating phase shifting mask 5 as compared to conventional illumination techniques when the alternating phase shifting mask 5 has a phase shift error.

There are a variety of methods to achieve off-axis illumination. The restrictor means 74 referenced in Figure 2 is a general reference applicable to a variety of

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methods that may be utilized for achieving off-axis illumination. Methods of achieving off-axis illumination are well known to one skilled in the art.

By way of illustration of the present invention, the restrictor means 74 illustrated in Figure 2 is a ring having an inner radius 90 and an outer radius 92 5 wherein light 76 is not passed within the inner radius 90. Light 76 is passed only between the area inside of the outer radius 92 and outside the inner radius 90. The inner radius 90 is referred to as sigma in. The outer radius 92 is referred to as sigma out. By varying the sigma in 90 and the sigma out 92 parameters, depth of focus (DOF) of an image on the alternating phase shifting mask 5 may be varied. If the 10 alternating phase shifting mask 5 has a phase shifting error, then the sigma in and sigma out parameters are selected to compensate for the phase shift error. Another parameter effecting image is defocus. To select the proper defocus value, a mask is shot at different defocus values for determining which one provides the best image.

An image on the alternating phase shifting mask 5 is simulated using off- 15 axis illumination at various parameters (e.g., sigma in, sigma out and defocus) to determine best focus of the image. Empirical data provided by the one or more simulations permits the photolithographic system 70 to optimize the off-axis illumination parameters.

Effects of an alternating phase shifting mask 5 having a phase shift error is 20 illustrated in Figures 3-5 using on-axis illumination and in Figures 6-8 using off-axis illumination. Instead of the alternating phase shifting mask 5 having a desired phase shift of 180 degrees, the alternating phase shifting mask 5 has a phase shift of 90 degrees. A 90 degree phase error is a worst case scenario for purposes of illustrating compensation provided by shooting the alternating phase shifting mask 5 25 using off-axis illumination. While a 90 degrees phase shift error is an extreme example, an alternating phase shifting mask 5 having a phase shift error less than 90 degrees is also compensated by using off-axis illumination.

Figures 3-5 are aerial simulations at varying defocus parameters using on-axis illumination with the alternating phase shifting mask 5 having a phase shift error of 90 degrees. A numerical aperture (NA) remains a constant 0.54 for each of the three figures. Sigma also remains a constant 0.35. Since on-axis illumination is utilized, the restrictor means 74 illustrated in Figure 2 is more like a disk. Sigma represents the radius of this disk.

The aerial images are simulated line-in-space wafer image patterns as if a mask pattern contains equidistant chrome lines separated by transparent regions. At best focus, dark lines should be identical in size and spacing. Referring to Figure 3, a simulated image 105 is shown with a defocus of 0  $\mu\text{m}$ . Defocus and best focus are interchangeable terms.

Dark lines 110 represent low levels of intensity and gray areas 115 represent high intensity levels. When printed on a wafer, the dark lines 110 represent lines and the gray areas 115 represent spaces. Because simulation 105 is performed at best focus, the dark lines 110 are nearly equidistant from one another. However, the dark lines 110 gradually fade due to the diffraction caused by the 90 degree phase error.

A simulated image 205 in Figure 4 illustrates the worsening effects of increasing the defocus value from 0  $\mu\text{m}$  to 0.5  $\mu\text{m}$ . The simulated image 205 does not reflect equal line widths and equal spacings between the lines, as reflected in Figure 3. Because of the 90 degree phase shift error, the image gets more distorted as it gets out of focus. The dark lines 210 remain constant but the spaces alternate in size between narrow spaces 210 and wide spaces 220.

As the defocus value is further increased to 1.0  $\mu\text{m}$ , as illustrated in Figure 5, two of the spaces disappear and the remaining two spaces 315 are wider. With the larger defocus value, the lines 310 have carried over to the point of covering a space.

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Every other space has thus disappeared because of a combination of the defocus value and the 90 degree phase shift error.

If there is no phase error, the lines 310 and spaces stay at exactly the same location. When an image is moved out of focus at a zero phase shift error, the lines 5 do not move but the image gets blurry from a change in contrast. Therefore, as illustrated in Figures 3-5, a combination of selected defocus values and a 90 degree phase shift error creates a problem for the alternating phase shifting mask 5 using conventional illumination techniques.

Figures 6-8 are aerial simulations of the same mask layout as in Figures 3-5 10 except using off-axis illumination. Instead of having a constant sigma of 0.35, the restrictor means 74 has a sigma in radius 90 of 0.4  $\mu\text{m}$  and a sigma out radius 92 of 0.62  $\mu\text{m}$  wherein light 76 is not passed within the inner radius 90. Light 76 is passed only between the area inside of the outer radius 92 and outside the inner radius 90. By varying the sigma in 90 and the sigma out 92 parameters, a depth of 15 focus (DOF) of the image on the alternating phase shifting mask 5 is varied.

To be consistent with Figures 3-5, the defocus is increased from 0  $\mu\text{m}$  in Figure 6, to 0.5  $\mu\text{m}$  in Figure 7, and to 1.0  $\mu\text{m}$  in Figure 8. The phase shift error for these three figures remains at 90 degrees and the numerical aperture (NA) remains at 0.54. The sigma in 90 and sigma out 92 values used in Figures 6-8 serve as an 20 illustration of the present invention and such values vary depending on a given phase shift error.

The aerial images presented in Figure 6-8 are simulated line-and-space wafer image patterns as if a mask pattern contains equidistant chrome lines separated by transparent regions. At best focus, dark lines should be identical in size and 25 spacing. Referring to Figure 6, a simulated image 405 is shown with a defocus of 0  $\mu\text{m}$ . As previously stated, defocus and best focus are interchangeable terms.

Dark lines 410 represent low levels of intensity and gray areas 415 represent high intensity levels. When printed on a wafer, the dark lines 410 represent lines and the gray areas 415 represent spaces. Light areas 415 are considerably more uniform than their counterpart light areas 115 as illustrated in Figures 3. This is a 5 result of off-axis illumination which improves process latitude and improves the depth of focus of the image on the alternating phase shifting mask 5 as compared to conventional illumination techniques.

A simulated image 505 in Figure 7 illustrates the effects of increasing the defocus value from 0  $\mu\text{m}$  to 0.5  $\mu\text{m}$ . The simulated image 505 does not reflect equal 10 line widths and equal spacings between the lines, but light areas 515, 520 are considerably more uniform than their counterpart light areas 215, 220 of Figure 3 due to the off-axis illumination.

As the defocus value is further increased to 1.0  $\mu\text{m}$ , as illustrated in Figure 8, spaces 615 are considerably more uniform than the counterpart spaces 315 in Figure 15 5. Using off-axis illumination with the larger defocus value, the lines 610 have not carried over to the point of covering a space, as was the result with on-axis illumination.

The simulated images 405, 505, and 605 of Figures 6-8 thus use off-axis photolithography in combination with the same alternating phase shifting mask 5 20 used in Figures 3-5 to improve process latitude and improve the depth of focus of the image on the alternating phase shifting mask 5 as compared to conventional illumination techniques. Light areas 415, 515/520, and 615 (representing spaces) corresponding to Figures 6-8, respectively, are considerably more uniform than their 25 counterpart light areas 115, 215/220, and 315 as illustrated in Figures 3-5, respectively. Although Figure 8 has a high defocus value of 1  $\mu\text{m}$ , simulation image 605 is only slightly degraded. Thus, the distortion caused by a defocus value and a phase shift error has been greatly mitigated. The use of off-axis photolithography in

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combination with alternating phase shifting masks improves the results from traditional phase shift systems when the alternating phase shifting mask 5 has a phase error.

The simulated images illustrated in Figures 3-8 result from a phase shift

5 error of 90 degrees, which is a worst case scenario. Typically, a phase error will be considerable smaller. For example, the alternating phase shifting mask 5 may have a phase error of 10 degrees. Rather than having a sigma in 90 and a sigma out 92 of 0.4-0.62  $\mu\text{m}$ , a smaller sigma in 90 and sigma out 92 may be used, such as 0.05-0.35  $\mu\text{m}$ . Hence, the results from using off-axis photolithography with an alternating

10 phase shifting mask would readily support a smaller level of image degradation.

Other embodiments of the invention include using the alternating phase shifting mask 5 at two different wavelengths. For example, an alternating phase shifting mask 5 is built with a 180 degree phase shift for an I-line light source operating at 360 nm. The alternating phase shifting mask 5 is shot using on-axis

15 photolithography. Subsequently, the same alternating phase shifting mask 5 is shot using off-axis from an ultra-violet (UV) light source operating at 465 nm. The use of the later illuminator is possible because off-axis illumination compensates for the phase shift error at the lower frequency light source. Thus, the same alternating phase shifting mask 5 is used for two different wavelengths.

20 Although an alternating phase shifting mask was used throughout the description, the same optimization using off-axis illumination is also applies to attenuating phase shifting masks. Combining the method of the present invention would improve the fidelity of the resultant image.

Although specific embodiments have been illustrated and described herein, it

25 will be appreciated by those of ordinary skill in the art that any arrangement which is calculated to achieve the same purpose may be substituted for the specific

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embodiment shown. This application is intended to cover any adaptions or variations of the present invention.

### Conclusion

Optimizing printing of an image from the alternating phase shifting mask

- 5 having a phase shift error is accomplished using off-axis illumination 70. By simulating the image using varying off-axis illumination parameters, optimized parameters are determined for compensating for the phase shift error. Once the off-axis illumination parameters are optimized, the image is shot. In addition, the method of varying off-axis illumination parameters to compensate for a phase shift
- 10 error permits the alternating phase shifting mask to be shot at two different wavelengths. In one embodiment, a photolithography system comprises the alternating phase shifting mask having a phase shift error, an illuminator providing a light source, and a means for controlling the light source to optimize printing of the alternating phase shifting mask. The means for controlling the light source utilizes
- 15 off-axis illumination. In another embodiment, a method to optimize printing of the alternating phase shifting mask having a phase error comprises the steps of configuring an illuminator for off-axis illumination, performing one or more simulations of an image on the alternating phase shifting mask, and adjusting off-axis illumination parameters based upon the simulations. In yet another
- 20 embodiment, a method of printing an image from the alternating phase shifting mask using an illuminator operating at a shorter wavelength from what the mask was initially designed for comprises the steps of providing the alternating phase shifting mask, providing an illuminator having a light source, configuring the illuminator for off-axis illumination, performing one or more simulations of the
- 25 image on the alternating phase shifting mask, and adjusting off-axis illumination parameters based upon the simulations, and then printing an image on the alternating phase shifting mask.

What is claimed is:

1. A photolithography system comprising:
  - an alternating phase shifting mask having a phase shift error; and
- 5 an illuminator configured for off-axis illumination wherein the off-axis illumination parameters are optimized to compensate for the effects of the phase error.
2. The photolithography system of claim 1 wherein the illuminator uses empirical data in optimizing the off-axis illumination parameters.
- 10 3. The photolithography system of claim 2 wherein the empirical data is taken from one or more simulations of an image on the alternating phase shifting mask.
- 15 4. A photolithography system, comprising:
  - an alternating phase shifting mask having a phase shift error;
  - an illuminator providing a light source; and
  - a means for controlling the light source to optimize printing of the alternating phase shifting mask.
- 20 5. The photolithography system of claim 4 wherein the means for controlling the light source utilizes off-axis illumination.
- 25 6. The photolithography system of claim 5 wherein off-axis illumination parameters are optimized from simulations of an image on the alternating phase shifting mask.

7. A method to optimize printing of an alternating phase shifting mask having a phase error, the method comprising the steps of:  
    configuring an illuminator for off-axis illumination;  
    performing one or more simulations of an image on the alternating phase shifting mask; and  
    adjusting off-axis illumination parameters based upon the one or more simulations.

8. The method of claim 7 wherein the step of performing one or more simulations includes varying a depth of focus of the image on the alternating phase shifting mask.

9. The method of claim 7 wherein the step of performing one or more simulations includes varying sigma in and sigma out parameters corresponding to the illuminator.

10. A method to optimize printing of an alternating phase shifting mask having a phase error, the method comprising the steps of:  
    providing the alternating phase shifting mask having a phase shift error;  
    providing an illuminator having a light source;  
    performing one or more simulations on an image of the alternating phase shifting mask; and  
    providing a means for controlling the light source to optimize printing of the alternating phase shifting mask based upon the one or more simulations.

11. The method of claim 10 wherein the step of providing an illuminator utilizes off-axis illumination.

12. The method of claim 10 wherein the step of performing one or more simulations includes varying a depth of focus of the image on the alternating phase shifting mask.

5

13. The method of claim 10 wherein the step of performing one or more simulations includes varying sigma in and sigma out parameters corresponding to the illuminator.

10 14. A method of printing an image from an alternating phase shifting mask at two different wavelengths, the method comprising the steps of:

providing the alternating phase shifting mask;  
providing a first illuminator having a first light source at a first wavelength;  
printing the image on the alternating phase shifting mask;

15 providing a second illuminator having a second light source at a second wavelength;

configuring the second illuminator for off-axis illumination;  
performing one or more simulations of the image on the alternating phase shifting mask;

20 adjusting off-axis illumination parameters based upon the one or more simulations; and

printing the image on the alternating phase shifting mask.

15. The method of claim 14 wherein the step of providing a first illuminator  
25 utilizes an I-line light source.

16. The method of claim 14 wherein the step of performing one or more simulations includes varying a depth of focus of the image on the alternating phase shifting mask.

5 17. The method of claim 14 wherein the step of performing one or more simulations includes varying sigma in and sigma out parameters corresponding to the illuminator.

18. The method of claim 14 wherein the step of providing a second illuminator  
10 utilizes a deep ultra-violet (UV) light source.

19. A method of printing an image from an alternating phase shifting mask using an illuminator operating at a different wavelength from what the mask was initially designed for, the method comprising the steps of:

15 providing the alternating phase shifting mask;  
providing the illuminator having a light source;  
configuring the illuminator for off-axis illumination;  
performing one or more simulations of the image on the alternating phase shifting mask;

20 adjusting off-axis illumination parameters based upon the one or more simulations; and  
printing the image on the alternating phase shifting mask.

20. The method of claim 19 wherein the step of performing one or more simulations includes varying a depth of focus of the image on the alternating phase shifting mask.

21. The method of claim 19 wherein the step of performing one or more simulations includes varying sigma in and sigma out parameters corresponding to the illuminator.

5 22. The method of claim 19 wherein the step of providing the illuminator utilizes a deep ultra-violet (UV) light source.

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### Abstract of the Disclosure

Optimizing printing of an image from an alternating phase shifting mask having a phase shift error is accomplished using off-axis illumination. By simulating the image using varying off-axis illumination parameters, optimized 5 parameters are selected to compensate for the phase shift error. Once the off-axis illumination parameters are optimized, the image is shot. In addition, the method of varying off-axis illumination parameters to compensate for a phase shift error permits an alternating phase shifting mask to be shot at two different wavelengths.

"Express Mail" mailing label number: EL517793016US

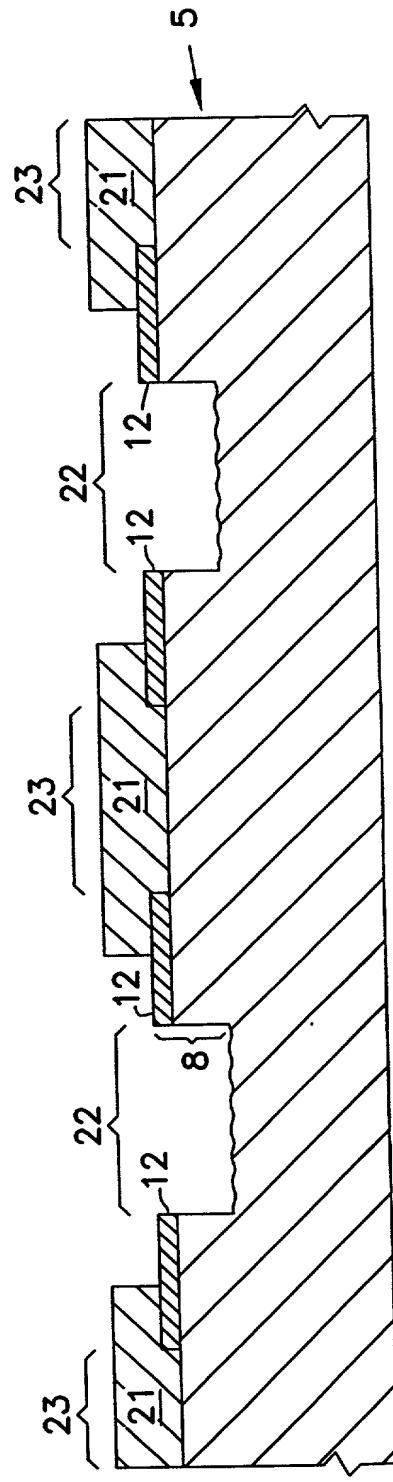
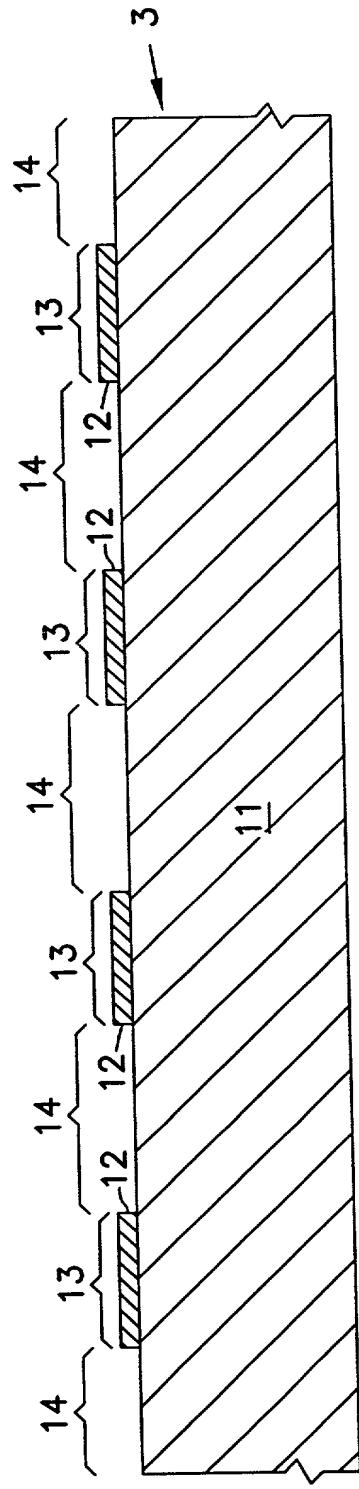
Date of Deposit: February 11, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Printed Name: Shawn Hiser

Signature: [Handwritten Signature]

U.S. PATENT AND TRADEMARK OFFICE



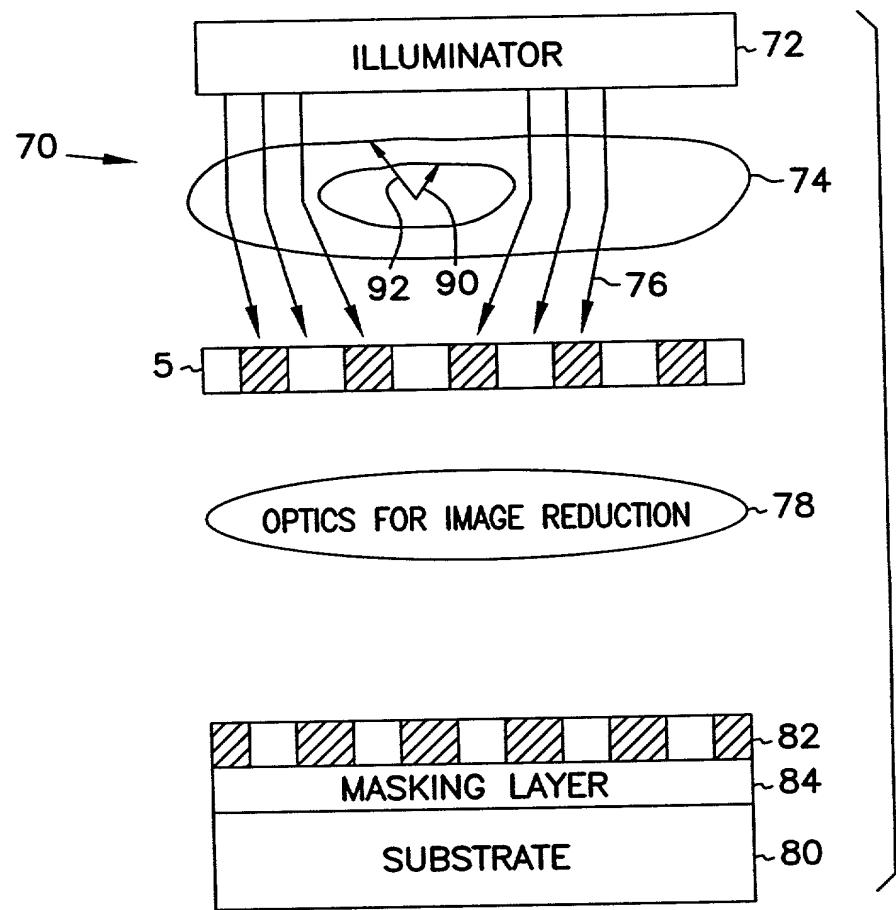


FIG. 2

0.0 T F20 \* 0.0000000000

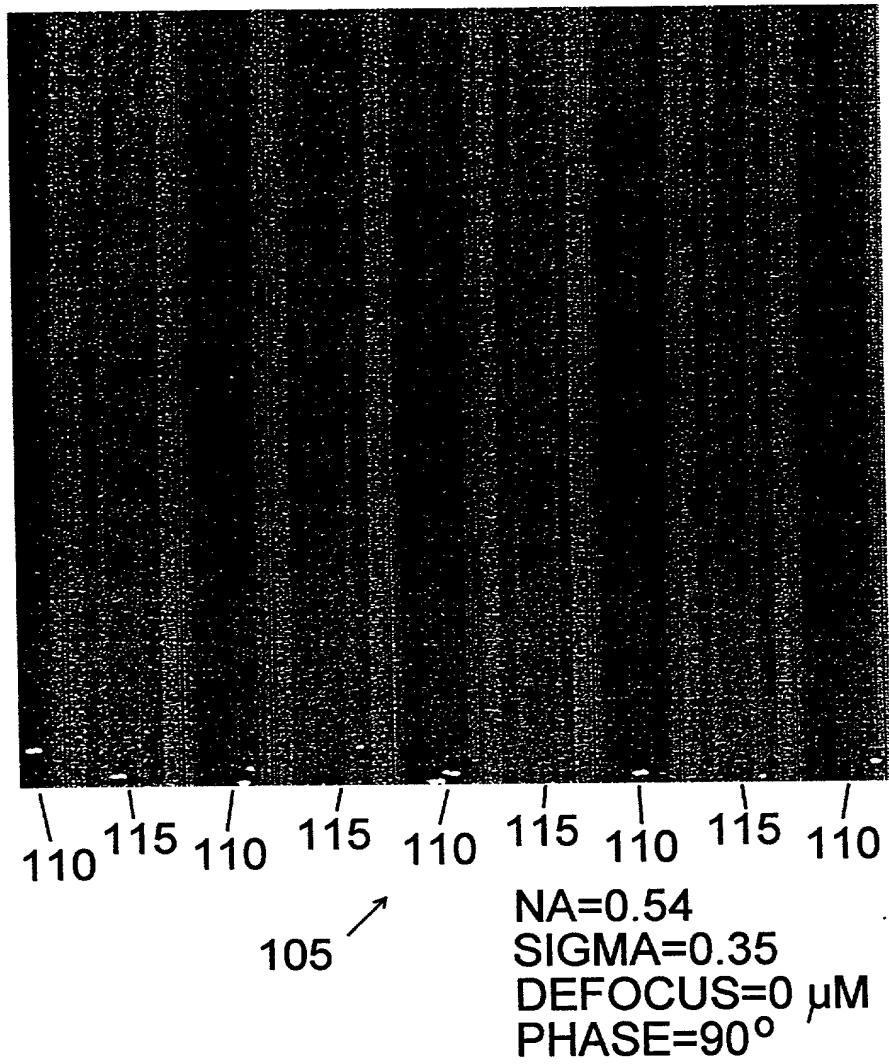


FIG. 3

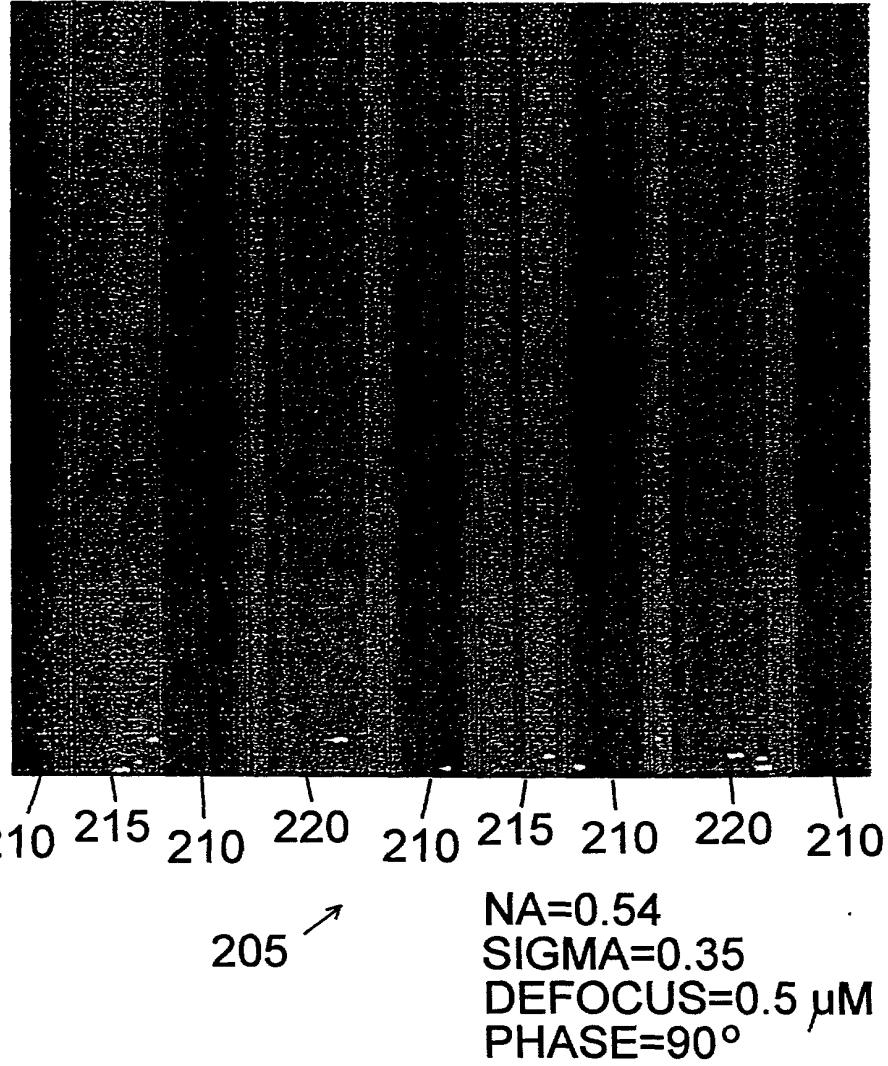
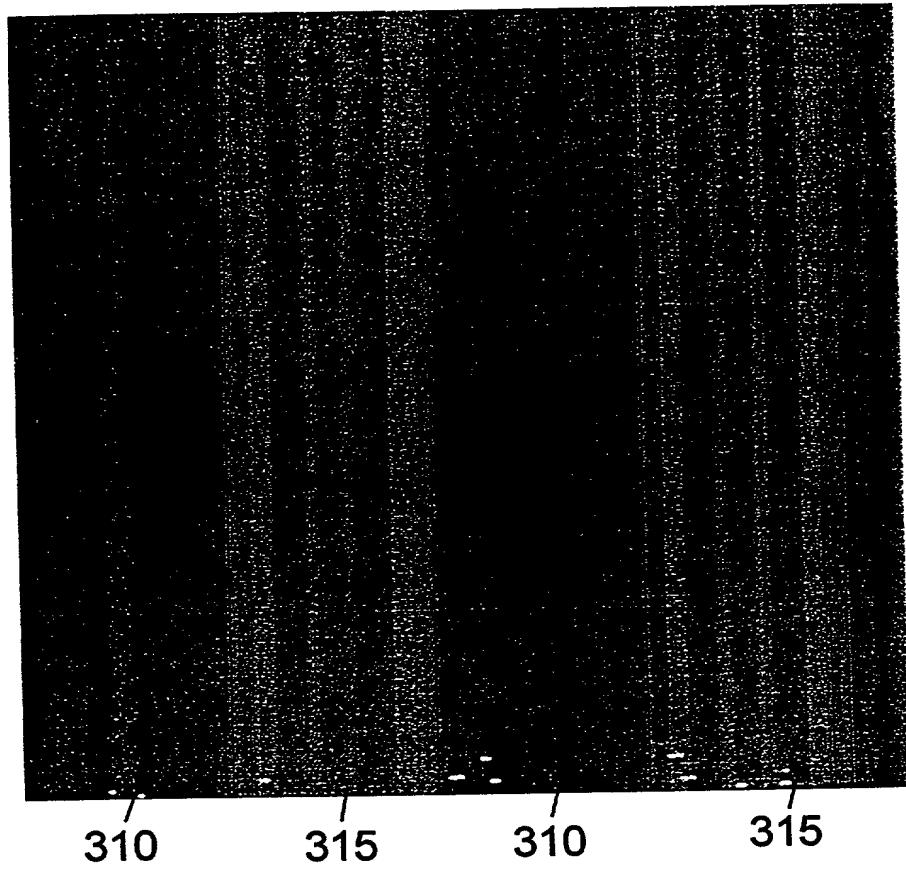


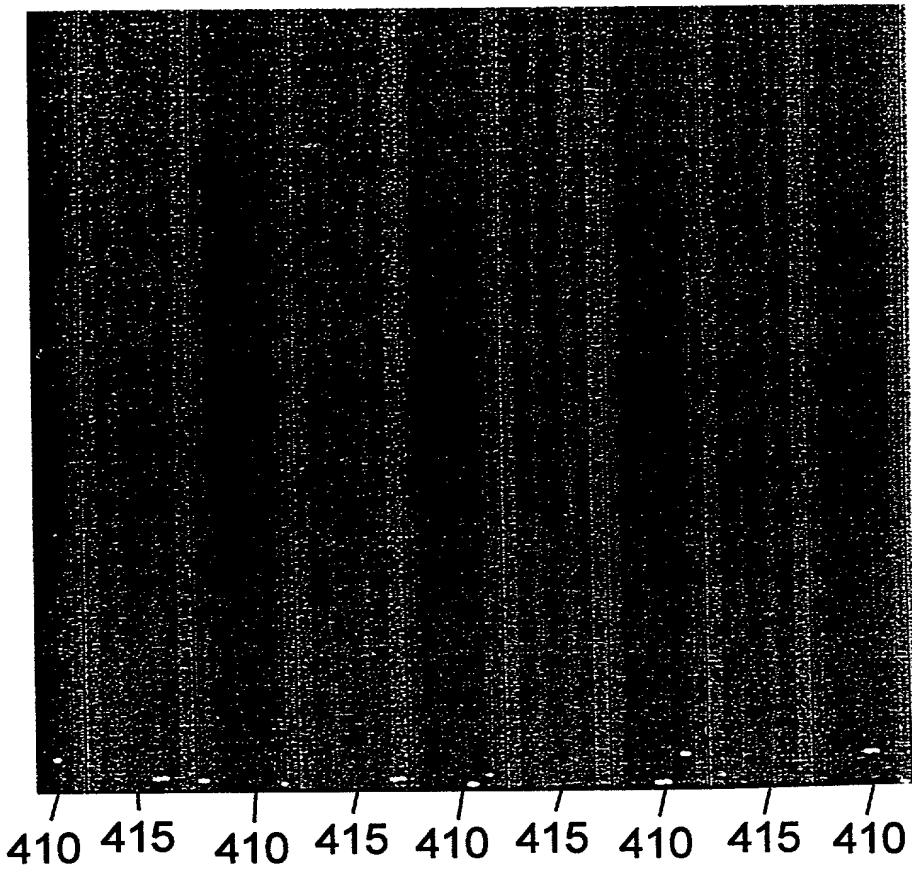
FIG. 4

000 000 000 000 000



305 →  
NA=0.54  
SIGMA=0.35  
DEFOCUS=1  $\mu$ M  
PHASE=90°

FIG. 5



405 →  
NA=0.54  
SIGMA=0.4-0.62  
DEFOCUS=0  $\mu\text{M}$   
PHASE=90°

FIG. 6

0.00 0.20 0.40 0.60 0.80

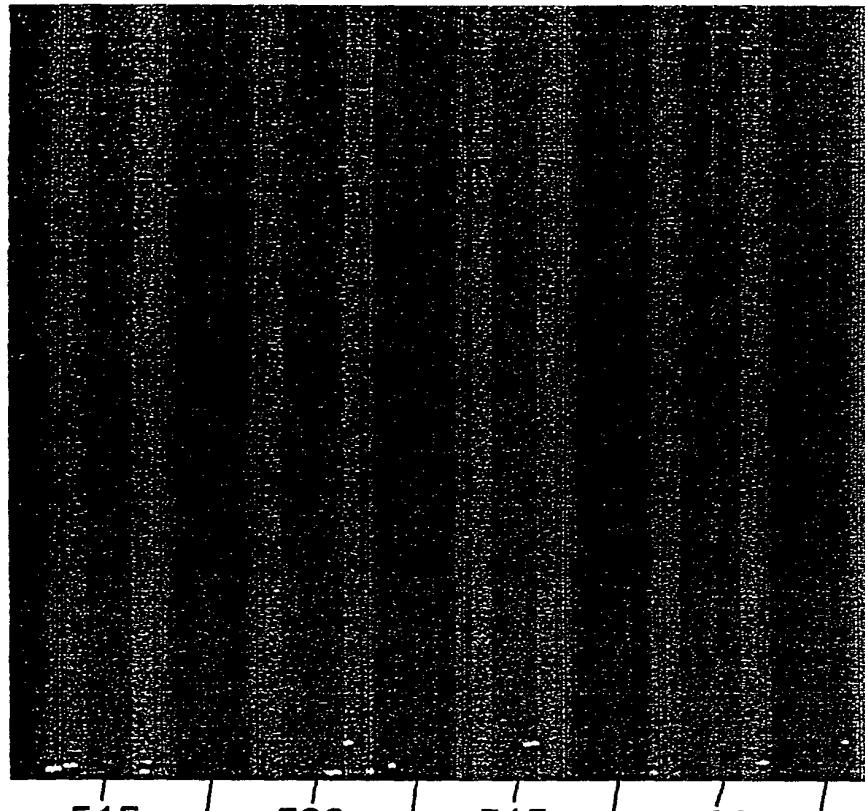
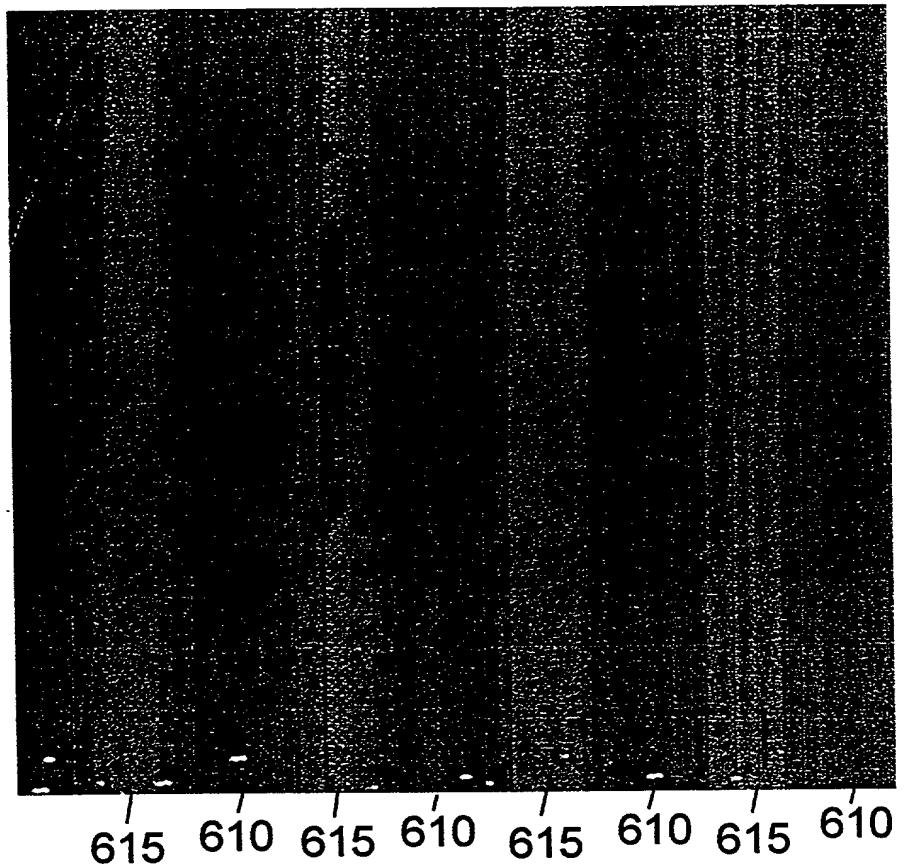


FIG. 7

505 →

NA=0.54  
SIGMA=0.4-0.62  
DEFOCUS=0.5  $\mu$ M  
PHASE=90°



605  
↗

NA=0.54  
SIGMA=0.4-0.62  
DEFOCUS=1  $\mu\text{M}$   
PHASE=90°

FIG. 8

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first or joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR OPTIMIZING PRINTING OF AN ALTERNATING PHASE SHIFT MASK HAVING A PHASE SHIFT ERROR**

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, §119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such applications have been filed.**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

**No such applications have been filed.**

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**No such applications have been filed.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1 : **Christophe Pierrat**

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Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Christophe Pierrat

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Full Name of joint inventor number 2 : **Nanseng Jeng**

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Boise, ID 83706

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Nanseng Jeng

---

Full Name of inventor:

Citizenship: \_\_\_\_\_ Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

---

Full Name of inventor:

Citizenship: \_\_\_\_\_ Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

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§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) it refutes, or is inconsistent with, a position the applicant takes in:
  - (i) opposing an argument of unpatentability relied on by the Office, or
  - (ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

S/N Unknown**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christophe Pierrat et al. Examiner: Unknown  
 Serial No.: Unknown Group Art Unit: Unknown  
 Filed: Herewith Docket: 303.311US2  
 Title: METHOD FOR OPTIMIZING PRINTING OF A PHASE SHIFT MASK HAVING A PHASE SHIFT ERROR

**POWER OF ATTORNEY BY ASSIGNEE AND  
CERTIFICATE BY ASSIGNEE UNDER 37 CFR § 3.73(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Micron Technology, Inc., assignee of the entire right, title and interest in the above-identified application by assignment attached hereto, hereby appoints the attorneys and agents of the firm of SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A., listed as follows:

Adams, Gregory J. Anglin, J. Michael Bentley, Dwayne L. Blanchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Leonjede M. Brennan, Thomas F. Brooks, Edward J., III Chu, Dinh C.P. Clark, Barbara J. Dahl, John M. Drake, Eduardo E. Eliseeva, Maria M. Embrerson, Janet E. Fogg, David N. Fordenbacher, Paul J. Forrest, Bradley A. Harris, Robert J.	Reg. No. 44,494 Reg. No. 24,916 Reg. No. P-45,947 Reg. No. 39,610 Reg. No. 32,836 Reg. No. 42,331 Reg. No. 35,832 Reg. No. 35,075 Reg. No. 40,925 Reg. No. 41,676 Reg. No. 38,107 Reg. No. 44,639 Reg. No. 40,594 Reg. No. 43,328 Reg. No. 39,665 Reg. No. 35,138 Reg. No. 42,546 Reg. No. 30,837 Reg. No. 37,346	Huebsch, Joseph C. Jurkovich, Patti J. Kalis, Janal M. Kaufmann, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Leffert, Thomas W. Lemaire, Charles A. Litman, Mark A. Lundberg, Steven W. Mack, Lisa K. Maki, Peter C. Malen, Peter L. Mates, Robert E. McCrackin, Ann M. Naha, Kash Nelson, Albin J. Nielsen, Walter W.	Reg. No. 42,673 Reg. No. 44,813 Reg. No. 37,650 Reg. No. 24,017 Reg. No. 40,052 Reg. No. 32,146 Reg. No. 41,136 Reg. No. 40,697 Reg. No. 36,198 Reg. No. 26,390 Reg. No. 30,568 Reg. No. 42,825 Reg. No. 42,832 Reg. No. 44,894 Reg. No. 35,271 Reg. No. 42,858 Reg. No. 44,255 Reg. No. 28,650 Reg. No. 25,539	Oh, Allen J. Padys, Danny J. Parker, J. Kevin Peacock, Gregg A. Perdok, Monique M. Polglaze, Daniel J. Prout, William F. Schumm, Sherry W. Schwegman, Michael L. Shaw, Stephen H. Slifer, Russell D. Smith, Michael G. Speier, Gary J. Steffey, Charles E. Terry, Kathleen R. Tong, Viet V. Viksnins, Ann S. Woessner, Warren D.	Reg. No. 42,047 Reg. No. 35,635 Reg. No. 33,024 Reg. No. 45,001 Reg. No. 42,989 Reg. No. 39,801 Reg. No. 33,995 Reg. No. 39,412 Reg. No. 25,816 Reg. No. P-45,404 Reg. No. 39,838 Reg. No. P-45,368 Reg. No. P-45,458 Reg. No. 25,179 Reg. No. 31,884 Reg. No. P-45,416 Reg. No. 37,748 Reg. No. 30,440
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and also attorneys Michael L. Lynch (Reg. No. 30,871) and Lia M. Pappas (Reg. No. 34,095) of Micron Technology, Inc., as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

The assignee certifies that the above identified assignment has been reviewed and to the best of the assignee's knowledge and belief, title is in the assignee.

Please direct all correspondence regarding this application to the following:

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Dated: 2-3-00

**MICRON TECHNOLOGY, INC.**

By: Michael L. Lynch  
 Name: Michael L. Lynch  
 Title: Chief Patent Counsel